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PATENT

#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Arjun G. Yodh, et al.

Confirmation No.: 7528

Application No.: 10/526,941

Group Art Unit: Not Yet Assigned

Filing Date: September 8, 2005

Examiner: Not Yet Assigned

For: CARBON NANOTUBES: HIGH SOLIDS DISPERSIONS AND NEMATIC
GELS THEREOF

DATE OF DEPOSIT:

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Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).



In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

- ☐ Certification in Accordance with § 1.97(e) is attached; or
- ☐ The fee of **\$180.00** as set forth in § 1.17(p) is attached.

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- ☒ Copies of reference numbers **1 – 120 and 133 – 135** listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of reference numbers **121 - 132** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number _____, filed _____ for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

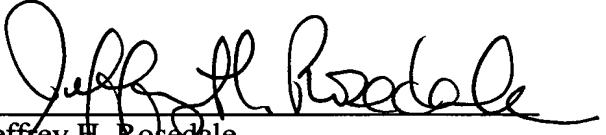
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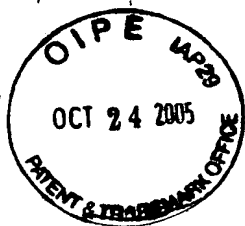
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Sheet 1 of 13

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